JAP3 Rec'd PCT/PTO 29 DEC 2009

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES
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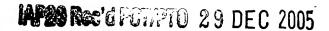
ATTORNEY'S DOCKET NUMBER

601/5

U.S. APPLICATION NO (IT IN 1971)

CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. July 2, 2003 PCT/CN2004/000730 March 17, 2004 TITLE OF INVENTION MATRIX ADJUVANTS AND THE DROP PILLS PREPARED WITH THEM APPLICANT(S) FOR DO/EO/US (1) CHEN, Jianming; (2) YAN, Xijun; (3) YANG, Yuewu; (4) LU, Wenliang; (5) ZHU, Yonghong; (6) YE, Zhengliang; (7) WANG, Wei; (8) ZHU, Guoguang; (9) ZHENG, Zhigang; (10) WANG, Shuangming Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). V A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔲 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🗹 A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. 15. L A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17. L 18. E A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. L A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO.				ATTORNEY'S DOCKET NUMBER								
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20. Other	20. Other items or information:											
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22. 🗸 Exam	nination fee (37 CF											
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NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.											
SEND ALL CORRESPONDENCE TO):		SIGNATURE	201			_				
Frank F. Tian, Esq. KAPLAN GILMAN GIBSON &		Frank F. Tian									
900 Route 9 North			46,462								
Woodbridge, New Jersey 07095 Telephone (732) 634-7634			REGISTRATION NUMBER								

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December 29, 2005

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Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Re:

Applicant: Chen et al.

Title of Invention: MATRIX ADJUVANTS AND THE DROP PILLS PREPARED WITH THEM International Application No.: PCT/CN2004/000730

Attorney Docket No.: 601/5

Dear Sir:

Submitted herewith are documents constituting of a U.S. Patent Application under 35 U.S.C. § 371. The documents include:

- 1. A Transmittal Letter expressly requesting to begin national examination procedures;
- 2. A translation of the published International Application in English;
- 3. A copy of the published International Application;
- 4. A Preliminary Amendment;
- 5. A self addressed, postage prepaid return postcard; and
- 6. A check in the amount of \$1,800.00.

The Commissioner is authorized to charge any additional fees which may be required, or to credit any overpayment to our Deposit Account No. 11-0223.

Very truly yours,

KAPLAN GILMAN GIBSON & DERNIER LLP

Frank F. Tian

Registered Patent Agent

ftian@kggd.com

Registration No. 46,462

FFT/pa

Enclosures

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